**CONVENTION ON WETLANDS (Ramsar, Iran, 1971)**

Rue Mauverney 28, CH-1196 Gland, Switzerland

Tel. +41-22-999-0170,

E-mail: asia.oceania@ramsar.org

Web site: [www.ramsar.org](http://www.ramsar.org)

**Nagao Wetland** **Fund (NWF)**

Operational Guidelines – 2023

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**Nagao Wetland Fund (NWF)**

# Section A

# Operational Guidelines for the Nagao Wetland Fund

## Contents

**I. Introduction**

**II. Eligibility to apply**

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## I. Introduction

1. The Nagao Wetland Fund was established by a Memorandum of Understanding between the Nagao Natural Environment Foundation (NEF) and the Secretariat of the Convention on Wetlands (the Secretariat), as a mechanism to support developing countries in the Asia-Oceania region to implement the Convention and its Strategic Plan 2016-2024.

2. The NWF is intended for small to mid-scale projects and does not replace the need for developing countries and countries in transition to have access to more substantial levels of funding in order to ensure the conservation and sustainable use of their wetland resources. The NWF offers a maximum of 30,000 USD per project (per country per year) and is intended to play a catalytic role in wetland conservation.

## II. Eligibility to apply

3. The NWF is open for national and local governmental, non-governmental, researchers, and local communities of the countries listed on Section 3 “Target Countries and regions” on this [link](http://www.nagaofoundation.or.jp/e/research/research.html) and Contracting Parties in Central and West Asia included in the DAC List available [here](https://www.oecd.org/dac/financing-sustainable-development/development-finance-standards/DAC-List-of-ODA-Recipients-for-reporting-2022-23-flows.pdf). Countries that are not yet Contracting Parties to the Convention of Wetlands, but have clearly signaled their intention to join, may apply for grants from the NWF to support activities necessary for the accession process. It should be noted that if resources in the NWF are scarce, such assistance might receive a lower priority than assistance to Contracting Parties.

## III. Types of assistance available under the NWF

4. A Project should be identified as a national priority by the Contracting Party in implementation of the Convention’s Strategic Plan 2016-2024.

### Implementation of the Strategic Plan 2016-2024

5. The Strategic Plan 2016-2024, adopted by the Conference of the Contracting Parties to the Convention on Wetlands (COP12) (July 2015 as Res XII.02) is available [here](https://www.ramsar.org/sites/default/files/documents/library/hb2_5ed_strategic_plan_2016_24_e.pdf).

6. A Contracting Party, when considering submitting or endorsing a project to the NWF for funding, should consider how the project fits in with its national planning for the implementation of the Convention, and in particular which Target(s) in the Strategic Plan 2016-2024 the project will address, **and indicate this in its project proposal**.

7. The maximum amount to be requested from the NWF for each project should not exceed USD 30,000. As resources in the Fund are limited, Parties are strongly encouraged to make a pre-selection of potential projects and ENDORSE only one project proposal per year.

## IV. Funds available for annual allocation

9. The NWF is administered by the Secretariat under the supervision of the NEF, pursuant to the Terms of Reference for the Financial Administration of the Convention.

## V. NWF project cycle

10. The project cycle during the year 2023 is attached in **Annex I**.

### Notification

11. Each year, the Secretariat will make an announcement on their website and notify the Administrative Authority of the eligible Contracting Parties. Announcement will include the Operating Guidelines, including the timeline, proposal submission procedures, reporting, and financing.

### Formulation of project proposals

12. All project proposals MUST be prepared using the template attached to these Operational Guidelines. This template form, titled, “Request for Funding” **(see Section B)** contains both the detailed Project Proposal and Project Summary Form, which should be endorsed by the Administrative Authority of the Convention in the country.

13. It should be noted that:

* Projects are expected to be implemented within one-year.
* Countries are unlikely to be awarded more than one project in any year. Thus, the Administrative Authorities of the Convention of the eligible Contracting Parties are encouraged to make a pre-selection of potential projects and endorse only the one considered to the top priority for advancing the implementation of the Convention in the country.
* The **Project Summary and Endorsement Form** **(Section B.1)** is included in the “Request for Funding” form (Section B).
* The amount allocated for the total cost for equipment and salaries is recommended to not exceed 20% of the overall Project Costs. In the case it does exceed 20% then it should be appropriate to the objectives and the budget allocated is rationalized.

### Advisory service of the Secretariat of Convention on Wetlands

14. The Secretariat offers an advisory service to those developing proposals that wish to seek guidance. In order to do this, project developers are invited to submit draft project proposals to the Secretariat before March 10 of each year, at the latest. In 2023, please refer to the Project cycle details during the year 2023 (Annex I).

### Submission of project proposals

15. ALL projects must be endorsed by the head of the Administrative Authority or the National Focal Point of the Convention on Wetlands in the country concerned. Formal submission of projects must be made online by the **deadline established in Annex I**. Any proposal obtained via email or as a hard copy will not be accepted. Any proposal without the signed endorsement of the head of the Administrative Authority or the National Focal Point of the Convention will not be accepted.

### Assessment of projects

16. The Secretariat makes a technical and feasibility assessment of all project proposals received using the “Project Proposal Assessment Form” (see Section D).

17. ***Important Note***. Prospective project developers are urged to consider the enclosed Project Proposal Assessment Form (see Section D).

### Approval of projects

18. Approval of the successful project is made by a selection committee made up by two members of the Asia-Oceania Team from the Secretariat, and two members from the NEF.

### Project contract and transfer of funds

19. Upon selection of a proposal, the Secretariat prepares the contract with the recipient agency, which can be the Administrative Authority of the Convention or a different beneficiary (and in that case sends a copy of the contract to the Administrative Authority of the Convention). The contract duly signed by the recipient agency must be returned to the Secretariat within 15 days; otherwise the selection will be automatically canceled. The validity of the contract will be 16 months.

20. This contract is governed by Swiss law.

21. All recipients will receive an initial payment of 35% of the total approved budget after the signed contract and the first invoice is sent to the Secretariat. The start date of the project will be the date the contract is signed by the recipient agency. Recipients will receive the second payment of 35% of the total approved budget after submission of a satisfactory progress report and the second invoice. The remaining 30% of the total approved budget will be retained by the recipients once the final report is deemed satisfactory and the third invoice which should include a ‘financial report’ for the funds is provided.

22. The Secretariat, in agreement with the Administrative Authority of the Convention in the recipient country, shall be allowed to visit, with financial support from the NEF, the project site and/or the project implementing agency or agencies, and/or to designate one of its partners or collaborators to do so on its behalf, in order to have a first-hand appraisal of the progress made during implementation and/or to provide advice on how best to complete the project.

### Progress Reports and Final Report

23. Each recipient agency is required to provide a **Progress Technical and Financial Report** on the implementation of NWF-funded projects by **the end of the sixth month** since the start date of the project and a **Final Report** within two months of project competition i.e. within **14 months of the project’s start date**. The standard forms for both the Progress and Final Reports are attached **(see Sections E and F)**. Both Progress and Final Reports MUST be submitted with the endorsement of the head of Administrative Authority or the National Focal Point of the Convention on Wetlands in the recipient country.

24. To assist the Secretariat with its evaluation and reporting to donors, the Final Report should include copies of any materials produced under the project. Published materials should be submitted with the final report in electronic copy (for example, PDF), with suitable photographs illustrating the activities undertaken. Materials produced with NWF funding should acknowledge the origin of the funds. In addition, the recipient agency SHOULD produce and submit a vlog (five-minutes maximum length) that shows the main objectives and outputs of the project.

 **Note:** A vlog is a short video which can be taken from one’s camera (doesn’t have to be professionally taken). There are no strict guidelines or format to the video, so project proponents can choose to make it in the manner that they think best showcases their project achievements. However, the Secretariat and the NEF should be acknowledged appropriately.

25. The person responsible for executing the project is encouraged to maintain regular contact with the Senior Regional Advisor for Asia-Oceania and Assistant Advisor in the Secretariat and the designated focal point in the Administrative Authority of the Convention in the recipient country. The Secretariat and the Administrative Authority of the Convention should be informed as soon as possible if the project leader were to change during the course of the project. The new project leader should be given all the project details so that he/she can continue the project effectively.

## VI. Reporting to NEF

26. The Secretariat provides to NEF a Progress Report and/or Final Report (including the vlog, pictures, and other materials) received from the beneficiaries.

# Annex I

**Project cycle details during the year 2023**

|  |  |  |
| --- | --- | --- |
| Secretariat of the Convention on Wetlands | Time Frame | Proponent’s responsibilities |
| AThe Secretariat sends an email to the Administrative Authorities in the Asia/Oceania region informing the opening of a new NWF project cycle.The Secretariat also makes an open call in their website. | By 15 February |  |
| Advisory service is open during this period from the Secretariat.  | By 10 March | BDraft proposals can be sent to the Secretariat for review.  |
|  By 31 March |  CSubmission of the proposals  |
| DAssessment of projects | April - May  |  |
| ESecretariat will notify the project proponents about the success (or not) of their projects. Contracts will be prepared and sent out to the successful project proponents.  | By 15 June |  |
| Secretariat will ensure the contracts are properly singed and that the Administrative Authority who has endorsed the project also receives a copy of the contract.  | By 30 June  | **F**All successful project proponents should submit their signed contracts to the Secretariat and the Administrative Authority who has endorsed the project.  |
|  | At latest by the sixth month since the project’s start date  | GAll recipient agencies must submit their Progress Report endorsed by the designated administrative authority by the allocated deadline. |
| HThe Secretariat will review the progress report and confirm that the second invoice can be sent if the report is deemed satisfactory. Transfer of second payment will be carried out after approval of the report and the invoice. | By 1 month since the progress report plus the second invoice is received |  |
|  | By the end of 12 month since the project’s start date | IThe recipient informs the completion of the project to the Secretariat.  |
|  | By two monthsafter the completionof the project(at the latest) | JFinal Report, vlog, photographs, and other materials produced during or as a result of the project implementation should be submitted to the Secretariat. |
| KThe Secretariat will review the final reports and confirm that the final invoice can be sent if the report is deemed satisfactory. Transfer of last payment will be carried out after approval of the report and the invoice.  | By two months after the approval of the final report, no later than the contract |  |

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**Nagao Wetland Fund (NWF)**

# Section B: “Request for Funding” Form

**Note.** Please complete and enclose both Section B.1 “Project Summary and Endorsement Form” and Section B.2 “Detailed Project Proposal”, following the section structure and guidance provided below on what to include in each section of the proposal. Thank you.

**Section B.1**

**Project Summary and Endorsement Form**

**Please fill in sections a, b, c, f, g, h and i, and either section d or section e.**

1. Country requesting support:
2. Title of project proposal:
3. Please indicate relevant Goals and Targets of the Convention’s Strategic Plan 2016-2024 covered by the project:

**d.** If the financial support sought from the NWF is requested to carry out part of a larger project, please indicate:

* Title of the main project:
* Objectives of the main project:
* Duration of the main project:
* Total cost of the main project:
* Amount requested from the NWF:
* Have the main project and the other funds been approved:  Yes  No
* If yes, please indicate the sources of these funds, showing whether the source is in-country or external:

**e.** If the proposed project is a stand-alone activity, please indicate:

* Duration of the activities to be covered by NWF grant:
* Amount requested from NWF:
* Other financial contributions (including in-kind) – indicate whether these are from in-country or external sources:

**f.** Name, address (including phone numbers and e-mail address) and website of the recipient agency, i.e. the institution responsible for the project, specifying the name and contact details of the person(s) responsible for preparing and executing the project.

**g.** Summary (maximum 500 words)

Describe background, justification, objectives and intended follow-up. Indicate if the project will be carried out in a Wetland of International Importance (Ramsar Site) or how it otherwise contributes to the implementation of the Convention Strategic Plan 2016-2024 and the fulfillment of its objectives:

**h. Endorsement of this project application – to be filled by the Administrative Authority of the Convention (head of the Administrative Authority or National Focal Point)**:

Please confirm the importance of this proposed project in relation to your national Convention implementation priorities. **Please tick () only one of the following statements**:

|  |  |
| --- | --- |
| 1. The project’s outcomes will deliver significantly increased implementation capacity for a high national priority.

OR |  |
| 1. The project’s outcomes will deliver increased implementation capacity for a lower national priority.

OR |  |
| 1. The project’s outcomes will not directly lead to increased implementation capacity.
 |  |

Other comments:

Institution/Agency:

Name/Title:

Date: Signature and Stamp:

Please return this form along with the Detailed Project Proposal to:

**Nagao Wetland Fund (NWF)**,  **The Secretariat of Convention on Wetlands**,

28 Rue Mauverney, CH-1196 Gland, Switzerland. E-mail: asia.oceania@ramsar.org

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**Section B.2**

**Structure and contents of Detailed Project Proposal**

**Notes:**

i)Please follow the section headings and sequence and the guidance for what to include in each section.

ii) Please use a maximum of **five (5) pages** (A4 size paper) to provide detailed information on your proposal, following the headings and instructions given below. Please use the fond Garamond (font size 11 or 12) and line-spacing of 1.0.

**Details of proposal**

# A. Background and justification

Background information should provide basic factual and descriptive information to put the problem(s) in its local, provincial, national, or regional context, including relevant sectoral information, government objectives, policies, plans, and ongoing programmes. The amount of background information presented should be limited to what is essential to understand the current circumstances. Describe briefly the human population living in the project area and, if the project will be carried out at a certain location, the site should be described and ideally a map should be attached to show the location.

# B. The problem(s) to be addressed

In this section identify the problem(s) that can usefully be addressed by this project and covered by the objectives described in Section C. Determine the underlying causes of the problem(s) and the aspects that can be addressed by this proposal with NWF support.

# Objective(s)

General Objective(s):

Please study the Convention Strategic Plan 2016-2024 available [here](https://www.ramsar.org/sites/default/files/documents/library/4th_strategic_plan_2016_2024_e.pdf) and indicate the issues that are being addressed in this project, and to which Goals and Targets of the Plan they relate.

Specific Objective(s):

The objective states the results to be achieved by the project. These results should address and solve the problems and issues identified in Section B. Objective statements should define the intended results that should have been achieved by the end of the project. For clarity and ease of understanding, objectives should be written in standard grammatical patterns and phrases, e.g., …to develop the management and governance of a wetland…, …to achieve the wise use of…, …to raise awareness of wetland values…, ...to reinforce the capacity of institutions to…, …to identify the wetlands…, etc.

# D. Outputs

Outputs are results or products of the project to achieve an objective. Several outputs may be necessary to enable the achievement of an objective. The vocabulary used should describe the finished products or a completed result, e.g., “a management plan”, “a feasibility study”, “trained personnel”.

# E. Activities

Explain all the activities that will be undertaken to produce each output. Explanation of the activities should be detailed and analytical so that at the end of this section the methodology of the project is clear. The vocabulary used should describe actions that will be taken, e.g., “conduct training”, “consultation with ministries”, “organize seminars”. **A full description of how these activities will be carried out should be provided, including details such as, for example, the person and organization executing the activity, sampling or monitoring methodologies, types of materials to be produced, number of publications to be printed, number of people attending workshop, cost of food and accommodation, etc.** Following Resolution XIII.18 Gender and Wetlands (Dubai, 2018), proposed activities are encouraged to be a balanced gender representation, such as workshops and trainings.

**F. Logical frame of the project**

Use the table below to summarize the activities undertaken to produce each output and to reach each objective. This table should be consistent with the paragraphs B, C, D and E above. It will be used to assess the project’s progress.

|  |  |  |  |
| --- | --- | --- | --- |
| Problems | Objectives | Outputs | Activities |
| *Example:*  *Unsustainable use of the Ramsar Site due to overfishing* | *1. to develop the sustainable management and governance of the Ramsar Site* | *1. Management plan* | *1.1 Working group involving all stakeholders: the authorities in charge of the site, fishermen, etc. 3 meetings.**1. 2 Preparing the draft management plan**1. 3 Review of the draft management plan by the working group* |
|  |  |  |  |

# G. Project management arrangements and stakeholders

A plan or flow chart for management and implementation arrangements of the project should be prepared. This section should include information on the agency or body executing the project, describe institutional and technical capacities to undertake the work, and indicate the monitoring and evaluation procedures to ensure that the objectives are met. It should also include an identification of the stakeholders concerned by the project. If the implementing agency is not the Administrative Authority of the Convention, general information on the implementing agency should be provided, including details about its institutional and/or working relations with the Administrative Authority.

# H. Work Plan

A schedule should be presented for production of all the outputs of the proposed project during the life of the project. This work plan should indicate the order in which activities will be undertaken so as to show the sequence and relationship between activities.

|  |  |
| --- | --- |
|  | Month |
| Outputs &Key Activities | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| **Output 1**Activity 1: |  |  |  |  |  |  |  |  |  |  |  |  |
| Activity 2: |  |  |  |  |  |  |  |  |  |  |  |  |
| **Output 2**Activity 3: |  |  |  |  |  |  |  |  |  |  |  |  |
| Activity 4: |  |  |  |  |  |  |  |  |  |  |  |  |

# I. Budget

The NWF provides a maximum of US Dollars (USD) 30,000 per strategic project or activities to support projects submitted by non-Contracting Parties to assist with their accession.

Please provide the budget costs in **your local currency** **and converted to US Dollars (USD) – but NOT in any other currency**. Please distinguish between the amounts to be covered by the NWF grant and by counterpart funding (if any). If there is counterpart funding, please indicate whether the funds have been secured and, if so, from which organization these funds will come. Also, distinguish clearly between counterpart funds coming from, or proposed to come from, sources within and from outside the country.

**In this section a detailed budget, consistent with the previous sections, in particular with the activities described, should be presented. All items (especially salary costs) for which support is requested from the NWF must be justified in the activity section. Please include unit costs (e.g., monthly salaries, number of copies and unit cost of publications, etc.) where appropriate.** Present the budget for a one-year period: the NWF contribution should be spent during a one-year period, in line with the work plan provided.

In addition to the cash funds in the budget, please also provide additional information in this section of the project proposal about any additional “in-kind” contributions to the project (e.g., provision of office accommodation, provision of technical equipment, work time of personnel paid from other sources, etc.).

Use the format below for the project budget tables.

i) ***Budget summary***

 Exchange rate applied: 1 US dollars (USD) = xx [local currency]

|  |  |  |
| --- | --- | --- |
| **Funding Source** | **Total funds** (local currency) | **Total funds** (US dollars) |
| NWF | 000.00 | 000.00 |
| Implementing Organization | 000.00 | 000.00 |
| Other sources of funding  | 000.00 | 000.00 |
| **TOTAL** | **000.00** | **000.00** |

ii) ***Overall itemized budget***

Exchange rate applied: 1 US dollars (USD) = xx [local currency]

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Description of budget item**(include unit costs where appropriate) | **Unit Cost****(local currency)**  | **Number of Unit** | **NWF** (local currency) | **Implementing organization and other sources of funding** (local currency) | **Total**  (local currency) | **Total**(USD) |
| 1. **SALARIES / WAGES / CONSULTANCY COSTS** |  |  |  |  |  |  |
| 1.1 Supervisory Staff *No. of persons & duration of employment on this project:* |  |  |  |  |  |  |
| 1.2 Consultants / Experts*No. of persons & duration of employment on this project:* |  |  |  |  |  |  |
| 1.3 Field Staff / Equipment operators*No. of persons & duration of employment on this project:* |  |  |  |  |  |  |
| 1.4 Office Staff*No. of persons & duration of employment on this project:* |  |  |  |  |  |  |
| 1.5 Daily workers*No. of persons & duration of employment on this project:* |  |  |  |  |  |  |
| **SUB-TOTAL:** |  |  |  |  |  |  |
| 2. **TRAVEL & ACCOMMODATION COSTS**  |  |  |  |  |  |  |
| 2.1 Air fares |  |  |  |  |  |  |
| 2.2 Other travel costs |  |  |  |  |  |  |
| 2.3 Accommodation |  |  |  |  |  |  |
| 2.4 Meals |  |  |  |  |  |  |
| **SUB-TOTAL:** |  |  |  |  |  |  |
| 3. **OFFICE RUNNING COSTS** |  |  |  |  |  |  |
| 3.1 Computer costs |  |  |  |  |  |  |
| 3.2 Telephone & fax |  |  |  |  |  |  |
| 3.3 Photocopies |  |  |  |  |  |  |
| 3.4 Office supplies |  |  |  |  |  |  |
| 3.5 Other (please specify):  |  |  |  |  |  |  |
| **SUB-TOTAL:** |  |  |  |  |  |  |
| **Costs of planned activities:** |  |  |  |  |  |  |
| 4. Output 1 For example **COST OF PUBLICATIONS, VIDEOS/DVDs, CDs etc.** |  |  |  |  |  |  |
| 4.1 Preparation |  |  |  |  |  |  |
| 4.2 Printing / reproduction |  |  |  |  |  |  |
| 4.3 Distribution (postage) |  |  |  |  |  |  |
| 4.4 Other (please specify): |  |  |  |  |  |  |
| **SUB-TOTAL:** |  |  |  |  |  |  |
| 5. Output 2 For example **COST OF WORKSHOP(S)/ TRAINING COURSE(S)**  |  |  |  |  |  |  |
| *Dates of workshop(s)/course(s):**No. of persons attending:* |  |  |  |  |  |  |
| 5.1 Air fares |  |  |  |  |  |  |
| 5.2 Transportation |  |  |  |  |  |  |
| 5.3 Per diems |  |  |  |  |  |  |
| 5.4 Rental of Facilities |  |  |  |  |  |  |
| 5.5 Speakers’ fees |  |  |  |  |  |  |
| 5.6 Translation costs |  |  |  |  |  |  |
| **SUB-TOTAL:** |  |  |  |  |  |  |
| 6. **MISCELLANEOUS** |  |  |  |  |  |  |
| 6.1 (please specify):  |  |  |  |  |  |  |
| 6.2 (please specify):  |  |  |  |  |  |  |
| **SUB-TOTAL:** |  |  |  |  |  |  |
| **TOTAL:** |  |  |  |  |  |  |

# J. Follow Up

Intended follow-up after completion of the project timetable. Please mention here what is going to be done after the project is over to ensure the long-term sustainability of the results.

**K. Bibliography**

Please list key references.

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**Nagao Wetland Fund (NWF)**

# Section C: Project Contract Form

**Grant Agreement Nr. XXX**

**of xxx 2023**

**concerning the project proposal: ---- of “date”**

**The Secretariat of the Convention on Wetlands**, hosted by IUCN, International Union for Conservation of Nature and Natural Resources (“IUCN”), Rue Mauverney 28, 1196 Gland, Switzerland (hereinafter referred to as “the Secretariat”).

and

**Grant Recipient** (hereinafter referred to as “XXX”).

The Nagao Wetland Fund has approved a grant of **USD xxxxx** in support of the project and budget referred to above for the period covering from Day/Month/Year to Day/Month/Year, which may be extended by the mutual agreement.

The following terms and conditions apply to the use of the Nagao Wetland Fund:

1. 35% of the total approved grant (**USD xxxxx**) will be transferred after receipt of the countersigned copy of this letter and the first invoice by the Secretariat. The second payment of 35% of the total approved budget will be transferred after, (i) a timely submission of the progress report and the second invoice and, (ii) approval of report and the second invoice by the Secretariat (Date of Progress Report Submission: Day/Month/Year). The remaining 30% of the total approved budget will be transferred after, (i) a timely submission of the final report and the third invoice and, (ii) approval of report and the third invoice by the Secretariat (Date of Final Report Submission: Day/Month/Year). To facilitate transfer of the payment, please indicate on the countersigned copy of this contract the full name and details of the organization to which payment will be sent (including its address, telephone/telefax, e-mail). Please provide the payment details related to bank account where the funds can be deposited.
2. The progress and final report should have a signed endorsement from the head of the Administrative Authority or the National Focal Point of the Convention on Wetlands.
3. Under the Secretariat’s *Operational Guidelines for the Nagao Wetland Fund* (NWF), 2023, NWF funds and interest earned thereon may be expended only for the purposes stated in the present letter, and it is understood that these grant funds will be used for such purposes in accordance with the approved budget. Any interest earned on the grant shall be added to the budget total and accounted for.
4. All the conditions described in the *Operational Guidelines for the Nagao Wetland Fund 2023* apply to this contract. Under the terms of this grant, no additional administration costs may be deducted for this project.
5. Any substantial variations in project implementation as set out in the approved project proposal and budget, including changes to the timing of expenditures or changes in activities or use of the budget affecting more than 10% of any budget line, should be submitted in writing to the Secretariat for prior approval.
6. Whilst this project may form part of a larger project with funding from other sources, the specific work to be undertaken as annexed to this contract should not have duplicate funding from other sources. Should this project have such duplicate funding, the project proponents are required to declare this to the Secretariat and to return to the Secretariat the portion or all funds provided under this grant which have also received funding from other sources.
7. NWF funds may not be used by the implementing organization or any other body to carry out any activities that may adversely affect the ecological character of the wetland(s) involved, or otherwise contravene any of the applicable articles of the Convention on Wetlands.
8. Attention is drawn to the fact that the project may be cancelled by mutual agreement between the Nagao Natural Environment Foundation (NEF) as a donor and the Secretariat if the work is not completed or a satisfactory Progress and Final Report is not received in line with the schedule/deadlines approved in this contract. In case of cancellation of the project, restitution of the funds will be discussed and agreed among the NEF, the Secretariat and the Grant Recipient.
9. These reports should contain a detailed financial statement which reflects expenditures of the grant funds, according to the categories of the approved budget, as of the end of the period covered by the report. The final report should be accompanied by a vlog, photographs and similar other materials illustrating the key activities undertaken. The Grant Recipient is obliged to keep copies of all invoices, contract and receipts for 10 years, as required by the Swiss law.
10. Any unused funds by the end of the project period shall be identified in the financial statement accompanying the final report. The Secretariat will decide on how to best use those funds.
11. The Secretariat, in agreement with the Administrative Authority of the Convention, shall be allowed to visit, with financial support from the NEF, the project site and/or the project implementing agency or agencies, and/or to designate one of its partner organizations or collaborators to do so on its behalf, in order to have a first-hand appraisal of the progress made during implementation and/or the results obtained after completion of the project. It is agreed that said staff will be given full cooperation during their visit, allowing them free access to sites and premises, as well as to any documents relevant to the project.
12. The Secretariat will include information on this grant in its periodic reports and may also refer to the grant in a press release or in any other social media platforms.
13. A copy of all output and awareness materials produced wholly or partly with the NWF fund such as scientific reports, publications, videos, brochures, and pamphlets should be submitted to the Secretariat along with the final report. For digital publications like blog or websites, a link can be provided. Such materials should include an appropriate acknowledgement of the support from the NWF.
14. The recipient of the NWF grant acknowledges its willingness to mark the name and logo of the Convention on Wetlands and the NEF in all equipment and all products obtained through the support of the NWF.
15. The Secretariat and the Administrative Authority of the Convention reserve the right to use the publications and materials generated through this financing in the way they consider proper to strengthen the implementation of the Convention and to advance the interests of conservation of wetlands and other natural resources at the local, national and international levels, provided that any such use shall clearly acknowledge the Convention on Wetlands’ funding and role in carrying out this project. The copyright of all products and materials produced wholly or in part under this grant belongs to the Secretariat. However, Grant Recipient shall be free to use the products and materials for non-commercial purposes, to benefit the conservation of wetlands, without seeking the consent of the Secretariat. Grant Recipient shall require the prior written approval of the Secretariat before any modification or commercial use. Electronic versions of all such modified products and materials must be supplied to the Secretariat.
16. The Grant Recipient shall comply with the terms of IUCN’s Code of Conduct and Professional Ethics for the Secretariat, available at <https://www.iucn.org/downloads/code_of_conduct_and_professional_ethics.pdf>, which by signing of this Agreement, the Grant recipient confirms it has reviewed and accepted.
17. The Grant Recipient shall comply with the standards of conduct set forth in IUCN’s Anti-fraud Policy available at <https://www.iucn.org/downloads/anti_fraud_policy.pdf>, which by signing of this Agreement, the Grant recipient confirmed it has reviewed and accepted.
18. The Grant Recipient shall comply with the principles and standards of protection equivalent to those stipulated in the Non-Discrimination and policy on the protection from sexual exploitation, sexual abuse and sexual harassment (SEAH Policy) available at <https://www.iucn.org/sites/dev/files/seah_revised_version_2020apr27.pdf>.
19. The Grant Recipient shall process any personal data exchanged in the implementation of this Agreement in compliance with the principles of Regulation (EU) 2016/679 of the European Parliament on the matter of Personal Data Protection. Personal Data is any information relating to an identified or identifiable individual. The Grant Recipient shall promptly, and in any case within forty-eight (48) hours inform Secretariat through the online form located at (https://portals.iucn.org/dataprotection/requestform), if it determines and/or discloses to a competent public authority and/or affected data subjects that a Personal Data breach has occurred.
20. This Agreement may be executed in counterparts, each of which shall be deemed to be an original, but all of which, taken together, shall constitute one and the same agreement. The Secretariat and the Grand Recipient agree that the signed counterparts may be delivered by e-mail in a ".pdf" format data file or electronic signature (e.g., DocuSign or similar electronic signature technology) and thereafter maintained in electronic form, and that in this case such signature shall create a valid and binding obligation of the party executing with the same force and effect as if such ".pdf" or electronic signature page were an original thereof.
21. This contract is governed by Swiss law.

On behalf of the Convention on Wetlands, let me extend every good wish for the success of this endeavour.

 Yours sincerely,

 Dr. Musonda Mumba

 Secretary General,

 Secretariat of the Convention on Wetlands

If you agree to the terms and conditions, and timing set out above then kindly confirm your agreement of the contents of this contract by counter-signing and initialling each page of one copy of this contract and returning them to the Secretariat by electronic means. You should countersign and retain one copy of this contract and project document.

**ACCEPTED AND AGREED:**

|  |  |
| --- | --- |
| Name of the recipient agency/organization:  |  |
| Represented by:  |  |
| Title:  |  |
| Date:  |  |

Attachments: NWF Operational Guidelines, Sections E & F.

The full Operational Guidelines are available from the Asia-Oceania Team at the Secretariat of the Convention on Wetlands (email: asia.oceania@ramsar.org)

**PAYMENT SHOULD BE DIRECTED TO:**

|  |  |
| --- | --- |
| Name of Agency/Organization:  |  |
| Name and title of Officer responsible for the NWF grant:  |  |
| Address:  |  |
| Telephone: |  |
| Email: |  |
| Complete name of bank and/or branch:  |  |
| Address of bank and/or branch:  |  |
| Bank account in name of:  |  |
| Bank account number:  |  |
| SWIFT CODE:  |  |
| IBAN:  |  |

Seal and signature of the recipient agency/organization:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |
| Signature |  |  | Seal |  | Date |

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**Nagao Wetland Fund (NWF)**

# Section D

## Project Proposal Assessment Form

***[for the Convention Secretariat use]***

SUMMARY

|  |  |
| --- | --- |
| Country:  |  |

|  |  |
| --- | --- |
| Project code: | NWF/year/country initials/consecutive number of multiple projects submitted by the country NWF/year/ / |
|  |

|  |  |
| --- | --- |
| Project Title: |  |

|  |  |  |
| --- | --- | --- |
| Has the project been submitted previously? |  Yes |  No |
| If YES, how was it assessed? Feasible or feasible with minor improvements: |  Yes |  No |
| Score: |  |

|  |  |
| --- | --- |
| If part of a larger project, what is the total cost of the overall project:  | USD |

|  |  |
| --- | --- |
| Amount requested from NWF:  | USD |
| Additional counterpart financial contribution from proposer:  |  USD |
| In-kind support being provided from proposer: | USD |
| Additional financial contribution(s) from other in-country sources:  | USD |
| Additional financial contribution(s) from other sources external to the country:  | USD |
| In-kind support being provided from other sources: | USD |
| **Total Project Budget** | USD |

|  |  |  |
| --- | --- | --- |
| **** Project was assessed as feasible OR feasible subject to minor improvements (Stage I)  |  Yes  Yes |  No No |

|  |
| --- |
| **Primary reasons for the score given:** |

**Assessment Procedure**

The assessment procedure considers the feasibility of the project by reviewing the objectives, proposed activities and outputs, budgetary information and the capacity of the proposer to complete the project. If it is the overall conclusion of the Secretariat that the project does not meet expectations of the review, it will not be further considered.

Review of objectives, methodology, budgetary and capacity information

In the following review, the questions are intended to help the reviewer to determine if the project has appropriate objectives, proposes to apply suitable methods and activities, has a clearly prepared and appropriate budget, the proposer has the necessary capacity to carry out the project, and the project is therefore feasible. The reviewer should indicate Yes or No in response to each question in sections A, B, C, and D, and then in Section E indicate an overall assessment about the feasibility of the project based on the assessments in the foregoing sections. If there are more than two “No” responses given in a section (A-D), this section will be assessed as “No”. In Section E, if there are at least two “No”, then serious consideration needs to be given to rating the project as unsuitable.

|  |
| --- |
| **A. Objectives and outputs: are the objectives and beneficiaries well defined?**  |
| 1. Is the background of the project satisfactory?
 | Yes / No |
| 1. Are the justification and beneficiaries of the project clearly explained?
 | Yes / No |
| 1. Is (are) the problem(s) to be addressed identified?
 | Yes / No |
| 1. Are the general objectives clearly related to Operational Objectives of the

Convention Strategic Plan? | Yes / No |
| 1. Are the specific objectives clearly defined?
 | Yes / No |
| 1. Is there an output indicated for each objective?
 | Yes / No |
| 1. Are the outputs suitable to achieve the objectives?
 | Yes / No |
| **Assessment** – **the beneficiaries, objectives and outputs are well defined**  |  **Yes**  **No** |

|  |
| --- |
| **B. Activities: are the activities for achieving the objectives well defined and appropriate?**  |
| 1. Are the activities described adequately?
 | Yes / No |
| 1. Are the methods for undertaking each activity described clearly?
 | Yes / No |
| 1. Are the activities proposed appropriate to attain the indicated output?
 | Yes / No |
| 1. Are the different stakeholders described adequately? (including of gender perspectives is encouraged.)
 | Yes / No |
| 1. Does the project include monitoring and evaluation procedures to ensure that the objectives are met?
 | Yes / No |
| 1. Is the work plan described adequately?
 | Yes / No |
| 1. Is the work plan appropriate to attain the indicated outputs?
 | Yes / No |
| **Assessment** – **the methods proposed for achieving the objectives are suitable** |  **Yes**  **No** |
|  |
| **C. Suitability of the budget and adequacy of information on budgeting** |
| 1. Is there a budget with itemized lists of costs?
 | Yes / No |
| 1. Does the budget provide justification for each budget item?
 | Yes / No |
| 1. Is the proposed budget appropriate for the activities envisaged?
 | Yes / No |
| 1. Where applicable, does the budget distinguish between amounts to be covered by the NWF grant and amounts with confirmed (or proposed) financing by other sources (either cash or in-kind contributions)?
 | Yes / No |
| **Assessment** – **the budget information is adequate and the proposed budget appropriate**  |  **Yes**  **No** |

|  |
| --- |
| **D. Capacities needed to see the project completed:** |
| 1. Is a project management structure defined, so that it is clear who is responsible for the various aspects of the proposal?
 | Yes / No |
| 1. Does the proposed executor of the project have the institutional and technical capacity to carry out the project?
 | Yes / No |
| 1. Given the current political and administrative environment in the country, is the assessor confident of the project being completed?
 | Yes / No |
| **Assessment** – **the capacity information indicates that the project should be able to proceed** |  **Yes**  **No** |

|  |
| --- |
| **E** **Overall assessment - Project feasibility:**  |
| On balance does the project meet the expected standards under Sections: |
| A (Objectives), | Yes / No |
| B (Activities and methods), | Yes / No |
| C (Budget), | Yes / No |
| D (Capacity) | Yes / No |
| Based on the above ratings this project is: |  |
| **feasible** **feasible subject to minor improvements** **not feasible**  |  |

*If the overall assessment indicates that the project is not feasible,*

*then it will not be further considered.*

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**Nagao Wetland Fund (NWF)**

# Section E

# Standard format for the preparation of progress reports

***(to be filled in by the implementing agency/organization and endorsed by the Administrative Authority of the Convention on Wetlands)***

**Country:**

**Title of the Project:**

**Project code: Year of approval:**

**Total funds allocated:**

**Funds received to date:**

**Name and position of the author of this report:**

**Date progress report due: Date progress report provided:**

1. **Abstract**

Please report on what has been accomplished so far (approx. 200 words).

**2. Progress with meeting projects objectives**

According to the logical framework of the project (please refer to the project proposal) and for *each objective and activity* of the project, using the table below, please describe briefly the status of the progress, in terms of:

A) Task completed or B) Task partially completed or C) No progress as yet

|  |  |  |  |
| --- | --- | --- | --- |
| **Objective** | **Activity** | **Current status** | Success rating (do you think you will reach the objective for the planned date of the project completion?) Green (yes), orange (maybe) or red (no) |
| *Example: 1. to develop the sustainable management of the Ramsar Site* | *1.1 working group with all the stakeholders (3 meetings)* | ***Partially completed:*** *1 meeting took place* | *Green* |
| Objective 1 | Activity 1.1 |  |  |
|  | Activity 1.2 |  |  |
| Objective 2 | Activity 2.1 |  |  |
|  |  |  |  |

Please also provide a summary of action(s) taken for ***each objective***.

**3.** **Overall progress**

Overall, has the project made appropriate progress towards meeting the objectives within the time frame initially agreed upon?  Yes  No

If No, please indicate the reasons for any delays or proposed changes in project objectives.

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**4. Budgetary details**

- Please indicate what amount of the funds received from the NWF for this project has been spent so far (in US dollars).

- For each budget line, based on the final budget table from the project proposal, specify which amount of money has been used.

|  |  |  |
| --- | --- | --- |
|  | Budget | **Expenditures** |
| **Description of budget item**(include unit costs where appropriate) | Unit Cost(local currency) | Number of Unit | NWF (local currency) | Other sources (local currency) | Unit Cost(local currency) | Number of Unit | NWF(local currency) | Other sources (local currency) | Total (local currency) | Total (USD) |
| 1. **SALARIES / WAGES / CONSULTANCY COSTS** |  |  |  |  |  |  |  |  |  |  |
| 1.1 Supervisory Staff *No. of persons & duration of employment on this project:* |  |  |  |  |  |  |  |  |  |  |
| 1.2 Consultants / Experts*No. of persons & duration of employment on this project:* |  |  |  |  |  |  |  |  |  |  |
| **SUB-TOTAL:** |  |  |  |  |  |  |  |  |  |  |
| …  |  |  |  |  |  |  |  |  |  |  |
| **TOTAL:** |  |  |  |  |  |  |  |  |  |  |

- Have the funds been spent in accordance with the project specifications and budget?

|  |  |
| --- | --- |
|  Yes |  No |

- If No, please advice of where variations have occurred and the cost of these variations and provide a justification for this variation.

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- Indicate any expected future additional variation that you think could occur with the budget.

**5. Future issues**

Highlight the three most significant issues/challenges to successfully completing the project and explain how they will be addressed.

**6. Project Pictures**

Please provides 5-7 pictures with a 2-3 sentences description that best highlight what you are trying to accomplish or have accomplished through this project. You may also provide the pictures separately if needed.

**7. Project Officer** (person responsible for executing the project)

Name: Signature:

Institution: Date:

Address:

**8. Approved by the Administrative Authority of the Convention on Wetlands**

Name: Signature:

Administrative Authority: Date:

Address:

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**Nagao Wetland Fund (NWF)**

# Section F

# Standard format for the preparation of Final Reports

***(to be filled in by the implementing agency/organization and endorsed by the Administrative Authority of the Convention on Wetlands)***

**Country:**

**Title of the Project:**

**Project code: Year of approval:**

**Name and position of the author of this report:**

**Date project was completed: Date final report provided:**

**Have you provided the Final Report in electronic as well as hard copy format?**

**Have you enclosed/provided separately:**

1. **some suitable photographs or colour slides (with the necessary description) illustrating the project?**  Yes  No
2. **the copies of the materials produced with NWF funding (if applicable)?**

  Yes  No

1. **vlog (5 min max)?**

 Yes  No

1. **Abstract**

Please report on what has been accomplished by the completed project (approx. 300 words).

**2. Achievements against each project objective**

For *each objective* of the project, as stated in the project proposal, please indicate the *output(s)* in terms of:

A) Task completed or B) Task only partially completed or C) Task not attempted

|  |  |  |  |
| --- | --- | --- | --- |
| **Objective** | **Output** | **Activity** | **Current status** |
| *Example: 1. to develop the sustainable management of the Ramsar Site* | *1. A management plan* | *1.1 working group with all the stakeholders (3 meetings)* | ***Partially completed:*** *2 meetings took place* |
|  |  | *1. 2 Preparing the draft management plan* | ***Completed:*** *the draft management plan is ready* |
| Objective 1 | Output 1 | Activity 1.1 |  |
|  |  | Activity 1.2 |  |
| Objective 2 | Output 2 | Activity 2.1 |  |
|  |  |  |  |

Please provide a summary of action(s) taken for each *output*. **Where tasks were only partially completed or not attempted please provide the reasons for not fulfilling this expectation**.

**3. Overall project outcomes**

Overall, has the project achieved what it set out to do?  Yes  No

If No, please indicate the reasons for failing to meet the overall objective.

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**4. Budgetary details**

- Please submit a *detailed expenditure and income account* referring to the original project budget. Indicate expenditure and income in the currency(s) in which they have actually occurred, and as necessary provide the exchange rate into US dollars to be applied. Please fill in the table below which presents all the expenditures following the categories of the original budget, as well as a summary table with the totals of all the categories.

|  |  |  |
| --- | --- | --- |
|  | Budget | **Expenditures** |
| **Description of budget item**(include unit costs where appropriate) | **NFW** (local currency) | **Other sources** (local currency) |  **NWF** (local currency) | **Other sources** (local currency) | **Total** (Local currency) | **Total** (USD) |
| 1. **SALARIES / WAGES / CONSULTANCY COSTS** |  |  |  |  |  |  |
| 1.1 Supervisory Staff *No. of persons & duration of employment on this project:* |  |  |  |  |  |  |
| 1.2 Consultants / Experts*No. of persons & duration of employment on this project:* |  |  |  |  |  |  |
| **SUB-TOTAL:** |  |  |  |  |  |  |
| **TOTAL:** |  |  |  |  |  |  |

- Were all funds allocated to the project from the NWF spent in accordance with the project specifications and budget?  Yes  No

- If No, please advise of where variations have occurred, the cost of these variations and provide a justification for this variation. Include this information in your *detailed expenditure and income account report*.

- If all funds were not spent, please indicate below the amount of unspent funds and recommend possible uses for these funds which the Secretariat can consider.

Unspent funds: USD

|  |
| --- |
|  |
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|  |

**5. Project Officer** (person responsible for executing the project)

Name: Signature:

Institution: Date

Address:

**6. Approved by the Administrative Authority of the Convention on Wetlands**

Name and title: Signature:

Administrative Authority: Date:

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**Nagao Wetland Fund (NWF)**

# Section G

# Standard format for evaluating completed projects

***(to be completed by the Senior Regional Advisor/Regional Assistant for Asia-Oceania, Secretariat of the Convention on Wetlands)***

**Country:**

**Title of the Project:**

**Project Code: Year of approval:**

**Total funds allocated:**

**Project officer (person responsible for implementing the project):**

**Position, Institution:**

**Date progress report received: Date final report received:**

**1. Reporting requirements**

* 1. Was the report submitted with the endorsement of the Administrative Authority? Yes / No

1.2. Was a satisfactory abstract provided? Yes / No

1.3. Were photos illustrating the project received? Yes / No

1.4. Was the overall presentation of the report satisfactory? Yes / No

1.5. Was an electronic copy of the report provided? Yes / No

**2. Consultations between the Secretariat, the Project Officer and the Administrative Authority**

During the project what level of contact was maintained between the Secretariat, the person responsible for implementing the project, and the focal point in the Administrative Authority of the Convention on Wetlands?

Was it:

 regular  occasional  only when reports were due

 **3. Achievement against each project objective**

For **each objective** of the project (as stated in the project proposal) were the goals achieved?

Fully, Partially, Not at all

Objective 1:

Objective 2:

Objective 3:

Objective 4:

Objective 5:

Objective 6:

**4. Overall project outcomes**

Overall, has the project achieved what it set out to do? Yes / No

If No, are the reasons given for failing to meet the objectives acceptable, and why?

|  |
| --- |
|  |
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If the reasons given for failing to meet the objectives are not acceptable, describe what further action is recommended:

|  |
| --- |
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|  |

**5. Financial accountability**

5.1 Was an acceptable (accurate and clear) expense account (in USD) detailing all expenditures provided? Yes / No

5.2 Were all funds allocated to the project from the NWF spent in accordance with the project specifications and budget? Yes / No

If no, were the variations which occurred acceptable and justifiable? Yes / No

If all funds were not spent how will they used?

**6. The Secretariat of Convention on Wetlands Project Officer**

 Name: Signature: Date: